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FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket No.

2444-105-I

U. Opplication NOT 14046

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US00/04392

22 February 2000

22 February 1999

TITLE OF INVENTION: ANTIBODY FRAGMENT-TARGETED IMMUNOLIPOSOMES FOR SYSTEMIC GENE

DELIVERY

APPLICANT(S) FOR DO/EO/US: Liang XU, Cheng-Cheng HUANG, William ALEXANDER, WenHua TANG, and Esther H. CHANG

Applicant herewith submits to the United States Designated/Elect	d Office (DO/EO/US	<li>S) the following items and</li>	d other information
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- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [X] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [X] has been transmitted by the International Bureau.
  - c. [X] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [ ] have been transmitted by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
- 8. [ ] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## ITEMS 11. TO 16. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [ ] A FIRST preliminary amendment.
  - [ ] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [ ] A substitute specification.
- 15. A change of power of attorney and/or address letter.
- 16. [X] Other items or information: Courtesy Copy of the International Application Published Under PCT w/copy of Search Report

U.S. APPLICATION OF 18 10 4 5	U.S. APPLICATION NO 14 10 4 10 INTERNATIONAL APPLICATION NO. PCT/US00/04392			ATTORNEY DOCKET NO. 2444-105-I		
17. [X] The following fees are submitted:  Basic National Fee (37 CFR 1.492)(a)(1)-(5):  Search Report has been prepared by the EPO or JPO International preliminary examination fee paid to USPTO (37 CFR 1.482)  No international preliminary examination fee paid to USPTO (37 CFR 1.482)  but international search fee paid to USPTO (37 CFR 1.482)  Neither international preliminary examination fee (37 CFR 1.482)  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  International preliminary examination fee paid to USPTO (37 CFR 1.482)  and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00				CALCULATIONS	PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ X ] 30			\$860.00 \$130.00			
months from the earliest clair						
Claims	Number Filed	Number Extra	Rate			
Total Claims	68 -20 =	48	X \$18.00	\$864.00		
Independent Claims	5 - 3 =	02	X \$80.00	\$160.00		
Multiple dependent claim(s)	Multiple dependent claim(s) (if applicable) + \$270.00					
TOTAL OF ABOVE CALCULATIONS =				\$2,014.00		
Reduction by 1/2 for filing by small entity, if applicable. See 37 C.F.R. §1.27.			\$1,007.00			
SUBTOTAL =			\$1,007.00			
Processing fee of \$130.00 for furnishing the English translation later [ ] 20 [ ] 30 than months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
TOTAL NATIONAL FEE =				\$1,007.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		
TOTAL FEES ENCLOSED =			\$1,007.00			
				Amount to be refunded	\$	
	charged	\$				
<ul> <li>a.</li></ul>						
Stephen A. Saxe Rothwell, Figg, Ernst & Manbeck 555 13th St., N.W. Washington, D.C. 20004 Phone: 202/783-6040    Stephen A. Saxe Name Name  38,609 Registration Number						